

GIST OF ROC ADJUDICATION ORDERS

Adjudication order for violation of section 92 of the Companies Act, 2013 in the matter of EURO COTSPIN LIMITED

ROC Chandigarh issued an adjudication order dated 4th June 2024 in the matter Euro Cotspin Limited for not filing the annual return for the financial years 2014-15 to 2022-23 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹2,00,000 for each financial year ending on 31.03.2014, 31.03.2015, 31.03.2016 & 31.03.2017, 31.03.2018; and for the remaining years, the amount of penalty was as follows:

- For financial year ending 31.03.2019 : ₹1,74,900,
- For financial year ending 31.03.2020 : ₹1,29,300,
- For financial year ending 31.03.2021 : ₹95,600,
- For financial year ending 31.03.2022 : ₹65,300 and
- For financial year ending 31.03.2023 : ₹28,800

The Authority also imposed monetary penalty of ₹50,000 on each of three directors of company (officers in default) for each financial year from 2014-15 to 2021-2022 and for financial year 2022-23 penalty of ₹28,800 was imposed upon 3 directors in default.

www.mca.gov.in/bin/dms/getdocument?mds=JzuEWFz08oj6Gi0bfnanzJw%253D%253D&type=open

Adjudication order for violation of section 158 of the Companies Act, 2013 in the matter of WIND WORLD (INDIA) LIMITED

ROC Goa, Daman & Diu issued an adjudication order dated 15th April 2024 in the matter of Wind World (India) Limited for violating the provisions of section 158 of the Act by not mentioning DIN over the reply submitted to the Inquiry Officer conducted u/s 206(4) of the Act. The Adjudicating Authority imposed monetary penalty of ₹50,000 each upon the company and upon the director in default.

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Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of ENSA STEEL INDUSTRIES LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter of ENSA Steel Industries Limited for not filing its financial statements for the financial year 2018-19 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹5,00,000

each upon the company and directors of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=IHW9DvMbQOMjkRzQzwo0fw%253D%253D&type=open

Adjudication orders for violation of section 149 & 173 of the Companies Act, 2013 in the matter of CMR SURGICAL PRIVATE LIMITED

ROC Daman & Goa issued adjudication order dated 23rd April 2024 in the matter CMR Surgical Private Limited for violating the provisions of section 149(1) & (3) and section 173 of the Companies Act, 2013 by not appointing requisite number of directors on the Board of company, not appointing any resident director on its Board and not holding its Board meeting within time limit prescribed under the Act, respectively. The Adjudication Authority has imposed penalty of ₹70,000 each upon the company, exiting director and Company Secretary for violation of section 149(1) of Act. The Authority also imposed penalty of ₹73,500 each upon company, exiting director, Company Secretary and ₹53,500 upon newly appointed director for violating the section 149(3) of the Act. Penalty of ₹42,000 each was also imposed by the Authority upon the company, director and Company Secretary for not holding the Board meeting within prescribed time limits and violating section 173 of Act.

www.mca.gov.in/bin/dms/getdocument?mds=XSQDRbdFhmQ6fdV4DgvQjA%253D%253D&type=open

Adjudication Order for violation of Section 137(1) of the Companies Act, 2013 in the matter of GARIMA REAL ESTATE & ALLIED LIMITED

ROC Gwalior issued an adjudication order dated 11th June 2024 in the matter Garima Real Estate & Allied Limited for not filing its financial statement for the financial years from 2018-19 to 2022-23 within prescribed time limits as specified under section 137 of the Companies Act, 2013. For the violating the provisions of section 137 of the Act, the Adjudication Authority has imposed penalty of ₹1,77,500, ₹1,41,000, ₹1,04,500, ₹68,000 and ₹31,500 upon company for financial years ending on 31.03.2019, 31.03.2020, 31.03.2021, 31.03.2022 and 31.03.2023 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for each financial year from ending on 31.03.2019 to 31.03.2022 and for financial year ending on 31.03.2023 ₹31,500 was imposed as penalty upon such 3 directors in default.

www.mca.gov.in/bin/dms/getdocument?mds=qZr8BLJTuMISoCz9SWU4Bg%253D%253D&type=open

Adjudication order for violation of section 12(1) of the Companies Act, 2013 in the matter of PROVALUE ELECTRIC PRIVATE LIMITED

ROC Jaipur issued an adjudication order dated 30th May 2024 in the matter of Provalue Electric Private Limited for

violating the provisions of Section 12 of the Companies Act, 2013 for not maintaining the Registered Office of the company. The adjudicating authority has imposed monetary penalty of ₹ 74,000 upon company and each of its 2 directors (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=0q1lCbS4QY%252F8ioIGPPTGsg%253D%253D&type=open

Adjudication order for violation of section 203 of the Companies Act, 2013 in the matter of SIVA VALLI VILAS JEWELLERS PRIVATE LIMITED

ROC Puducherry issued an adjudication order dated 7th June 2024 in the matter of Siva Valli Vilas Jewellers Private Limited for violating the provisions of Section 203 of the Companies Act, 2013 for not appointing the whole-time Company Secretary within stipulated time. The adjudicating authority has imposed monetary penalty of ₹5,00,000 each upon the company and on its managing director and one its directors (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=SH%252B9ZzwADXGqEtsIOS8S9Q%253D%253D&type=open

Adjudication order for violation of section 168(1) of the Companies Act, 2013 in the matter of TRITIUM INFRASTRUCTURE PRIVATE LIMITED.

ROC Jaipur issued an adjudication order dated 21st May 2024 in the matter of Tritium Infrastructure Private Limited for violating the provisions of Section 168 of Companies Act, 2013 regarding intimation of resignation of Director of the Company. The adjudicating authority has imposed monetary penalty of ₹300000 upon the company and penalty of ₹1,00,000 each on two of company's directors (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=r6IYDZihfP2Dz%252FYJhKW3Qw%253D%253D&type=open

Adjudication order for violation of section 29(1) of the Companies Act, 2013 in the matter of BIOGENOMICS LIMITED

ROC Puducherry issued an adjudication order dated 7th June 2024 in the matter of Biogenomics Limited for violating the provisions of Section 29 of the Companies Act, 2013 for not dematerialising the shares of the company. The Company allotted shares in physical form on 5 separate dates i.e., 03.11.2020, 18.03.2021, 31.05.2021, 11.08.2021 and 01.10.2021 and for such issuance of shares in physical mode by violating provisions of section 29(1) of Act, the Adjudication Authority imposed of ₹ 2,00,000 upon the company & ₹ 50,000 upon officers in default for allotment of shares done on 03.11.2020 & 18.03.2021. For allotment of shares on 31.05.2021, 11.08.2021 and 01.10.2021, penalty imposed upon the company was ₹ 1,53,000, ₹ 82,000 and ₹ 29,000 respectively and officers in default ₹ 50,000 for allotments done on 31.05.2021 & 11.08.2021 and ₹ 29,000 for allotment of shares done on 01.10.2021.

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Adjudication order for violation of section 203 of the Companies Act, 2013 in the matter of BIOGENOMICS LIMITED

ROC Puducherry issued an adjudication order dated 7th June 2024 in the matter of Biogenomics Limited for violating the provisions of Section 203 of the Companies Act, 2013 for not appointing the whole-time Company Secretary within stipulated time. The adjudicating authority has imposed monetary penalty of ₹5,00,000 upon the company and penalty of ₹1,50,000 each on 9 of its directors (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=jEdfl%252BKHu3vqWd4CE5FVSw%253D%253D&type=open

Adjudication Order for violation of Section 92(4) of the Companies Act, 2013 in the matter of MEGASYS HEALTHCARE LIMITED

ROC Gwalior issued an adjudication order dated 10th June 2024 in the matter Megasys Healthcare Limited for not filing the annual return for the financial year 2017-18 to 2021-22 within prescribed time limits as specified under section 92 of the Companies Act, 2013. For the said violation, the Adjudication Authority has imposed penalty of ₹2,00,00, ₹1,74,300, ₹1,37,800, ₹1,01,300 and ₹64,800 upon company for financial years ending on 31.03.2018, 31.03.2019, 31.03.2020, 31.03.2021 and 31.03.2022 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for each financial year from ending on 31.03.2018 to 31.03.2022.

www.mca.gov.in/bin/dms/getdocument?mds=NXBXxEqtJkNPXRsgQ2Apmw%253D%253D&type=open

Adjudication Order for violation of Section 137(1) of the Companies Act, 2013 in the matter of MEGASYS HEALTHCARE LIMITED

ROC Gwalior issued an adjudication order dated 10th June 2024 in the matter Megasys Healthcare Limited for not filing its financial statement for the financial years from 2018-19 to 2021-22 within prescribed time limits as specified under section 137 of the Companies Act, 2013. For the violating the provisions of section 137 of the Act, the Adjudication Authority has imposed penalty of ₹1,77,400, ₹1,40,900, ₹1,04,400 and ₹67,900 upon company for financial years ending on 31.03.2019, 31.03.2020, 31.03.2021 and 31.03.2022 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for each financial year from ending on 31.03.2019 to 31.03.2022.

www.mca.gov.in/bin/dms/getdocument?mds=Uyn5WvHCHL9EqjUwGiLY4Q%253D%253D&type=open

Adjudication order for violation of Section 134 of the Companies Act, 2013 in the matter of VELOTIO TECHNOLOGIES PRIVATE LIMITED

ROC Pune issued an adjudicated order dated 27th May 2024 in the matter of Velotio Technologies Private Limited in the reply to company's suo-moto application for adjudication of penalty for violation of section 134(3)

(h) of Companies Act, 2013 for non-reporting of exact nature of the relationship with the service contractor of related party for the year March 31, 2021 and March 31, 2022 in the Form AOC-2 and imposed penalty of ₹ 6,00,000 upon company and ₹1,00,000 upon each of its 2 Directors (officers in default)

www.mca.gov.in/bin/dms/getdocument?mds=VCT0moKLE%252B7jR5yu90Z%252FdQ%253D%253D&type=open

Adjudication order for violation of section 42(7) of the Companies Act, 2013 in the matter of YOTTA AGRO VENTURES PRIVATE LIMITED

ROC Jaipur issued an adjudication order dated 3rd June 2024 in the matter of Yotta Agro Ventures Private Limited for violating the provisions of section 42(7) of the Companies Act, 2013 by utilizing the medium of public advertisement for informing public at large about issue of securities. The adjudicating authority has imposed monetary penalty of ₹1,00,00,000 upon the company and ₹23,78,500 on two directors of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=1nCFIE9b9pByGCc023MTDA%253D%253D&type=open

Adjudication order for violation of Section 92 of the Companies Act, 2013 in the matter of PAL CREDIT & CAPITAL LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter PAL Credit & Capital Limited for not filing the annual return for the financial year 2018-19 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The adjudicating authority has imposed monetary penalty of ₹5,00,000 upon the company and on manager of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=EyvBRY8jvoggXsxnZEmCgQ%253D%253D&type=open

Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of PAL CREDIT & CAPITAL LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter of Pal Credit & Capital Limited for not filing its financial statement for the financial year 2018-19 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹10,00,000 upon the company and ₹5,00,000 upon CFO and manager of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=wFhCbtoYPIXqXHVv29bUiw%253D%253D&type=open

Adjudication order for violation of Section 92 of the Companies Act, 2013 in the matter of INDIA CONTAINERS LIMITED

ROC Mumbai issued an adjudication order dated 28th May 2024 in the matter of India Containers Limited for not filing the annual return for the financial year 2018-

19 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹5,00,000 each upon the company and three directors and one additional directors of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=9oJh90ce06sdoVCYM58Y8Q%253D%253D&type=open

Adjudication order for violation of Section 92 of the Companies Act, 2013 in the matter of NEXTGEN ANIMATION MEDIAA LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter of Nextgen Animation Mediaa Limited for not filing the annual return for the financial year 2018-19 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹5,00,000 each upon the company and on managing director of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=OdBFc4BEnsDzJ3ES9TYDjA%253D%253D&type=open

Adjudication order for violation of Section 92 of the Companies Act, 2013 in the matter of PCI PAPERS LIMITED

ROC Mumbai issued an adjudication order dated 17th January 2024 in the matter of Pci Papers Limited for not filing the annual return for the financial year 2018-19 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹5,00,000 each upon the company and two whole-time directors of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=wMvEm5MCMcsYLb8%252FEyILDQ%253D%253D&type=open

Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of NEXTGEN ANIMATION MEDIAA LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter of Nextgen Animation Mediaa Limited for not filing its financial statement for the financial year 2018-19 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹10,00,000 upon the company and ₹5,00,000 upon managing director of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=buW9TmFU8ltQwPGb8BSxmQ%253D%253D&type=open

Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of KWICK HOME APPLIANCE SERVICE LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter of Kwick Home Appliance Service Limited for not filing its financial statement for the financial year 2018-19 within prescribed time limits as specified under section 137 of the Companies Act,

2013. The Adjudicating Authority has imposed monetary penalty of ₹10,00,000 upon the company and ₹5,00,000 upon director of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=LlIfTdLTsZZCDoGrVe1b6A%253D%253D&type=open

Adjudication order for violation of Section 92 of the Companies Act, 2013 in the matter of KWICK HOME APPLIANCE SERVICE LIMITED

ROC Mumbai issued an adjudication order dated 3rd June 2024 in the matter of Kwick Home Appliance Service Limited for not filing the annual return for the financial year 2018-19 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹5,00,000 each upon the company and director of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=HgYJRL8QX4EEUamEijgeAQ%253D%253D&type=open

Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of PCI PAPERS LIMITED

ROC Mumbai issued an adjudication order dated 17th January 2024 in the matter of Pci Papers Limited for not filing its financial statement for the financial year 2018-19 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹10,00,000 upon the company and ₹5,00,000 each upon two whole-time directors of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=HVMbTKHwg5xONwfYdRz6%252BQ%253D%253D&type=open

Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of INDIA CONTAINERS LIMITED

ROC Mumbai issued an adjudication order dated 28th May 2024 in the matter of India Containers Limited for not filing its financial statement for the financial year 2018-19 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudicating Authority has imposed monetary penalty of ₹10,00,000 upon the company and ₹5,00,000 each upon three director and one additional director of the company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=IymnGNLq2JohuHqWirTUZA%253D%253D&type=open

Adjudication order for violation of section 92 and 137 of the Companies Act, 2013 in respect of SS Mining and Infra Private Limited

ROC Cuttak issued an adjudication order dated 18th June 2024 in the matter of SS Mining and Infra Private Limited for violating the provisions of section 92 & 137 of the Companies Act, 2013 by not filing its Annual Return & financial statements for the financial years ending on 31.03.2015 to 31.03.2021 within stipulated timeframe.

The Adjudicating Authority imposed penalty of ₹2,00,000 for each financial year from 31.03.2015 to 31.03.2018, ₹1,75,700, ₹1,39,200 and ₹1,02,700 upon company; for financial years ending on 31.03.2019, 31.03.2020 and 31.03.2021 respectively, penalty of ₹2,00,000 was imposed upon 7 directors of company for each financial year from 31.03.2015 to 31.03.2021. For violating the provisions of section 137 of the Act, the Adjudication Authority has imposed penalty of ₹2,00,000 for each financial year from 31.03.2015 to 31.03.2018, ₹1,78,700, ₹1,42,200 and ₹1,05,700 upon company for financial years ending on 31.03.2019, 31.03.2020 and 31.03.2021 respectively, penalty of ₹2,00,000 was imposed upon 7 directors of company for each financial year from 31.03.2015 to 31.03.2021.

www.mca.gov.in/bin/dms/getdocument?mds=cAsWJKh8VmWPvtPAZ9r6Hg%253D%253D&type=open

Adjudication Order for violation of section 118 of the Companies Act, 2013 in the matter of INTO EDUCATION INDIA PRIVATE LIMITED

ROC Delhi issued an adjudication order dated 18th June 2024 in the matter of Into Education India Private Limited for violating the provisions of section 118 of Act read with provisions of Secretarial Standard-1 by not conducting the Board meeting within stipulated time. The Adjudication Authority has imposed penalty of ₹25,000 upon the company and ₹ 5,000 upon 4 directors of company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=bfEv%252B1PhTnrJWGrgqmJZew%253D%253D&type=open

Adjudication order for violation of Section 118 of the Companies Act, 2013 in the matter of GD DESIGN & PACKAGING PRIVATE LIMITED

ROC Pune issued an adjudication order dated 13th June 2024 in the matter of GD Design & Packaging Private Limited as the pages of the Minutes Book are not numbered. Thus, the company and its officers have violated provisions of Section 118 read with SS-1 of Companies Act, 2013. The Adjudication Authority has imposed penalty of ₹25,000 upon the company and ₹ 5,000 upon 3 directors of company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=iJ2uynpHsCgAm0UWpAwH5g%253D%253D&type=open

Adjudication Order for violation of section 173 of the Companies Act, 2013 in the matter of INTO EDUCATION INDIA PRIVATE LIMITED

ROC Delhi issued an adjudication order dated 18th June 2024 in the matter of Into Education India Private Limited for violating the provisions of section 173 of Act by not conducting the Board meeting within the time period as stipulated under the Act. For violation of section 172 of the Adjudication Authority has imposed penalty of ₹70,000 upon the company and 4 directors (including additional director) of company (officers in default). The company also violated another provision of section 173 of

Act by not holding 4 meetings in a year, the Adjudication Authority penalize the company & each of 4 directors of company with penalty amount of ₹10,000.

www.mca.gov.in/bin/dms/getdocument?mds=ObLbZcrdKIiA%252F3c0FMA4SQ%253D%253D&type=open

Adjudication order for violation of section 90 of the Companies Act, 2013 in the matter of Samsung SDI INDIA PRIVATE LIMITED

ROC Kanpur issued an adjudication order dated 12th June 2024 in the matter of Samsung SDI India Private Limited for violating the provision of section 90 of the Companies Act, 2013 by not filing the declaration of beneficial interest of SBO in the company's shares through e-form BEN-2 within stipulated timeframe. The Adjudicating Authority have imposed penalty of ₹5,00,000 u/s 90(4) upon the company and ₹1,00,000 has been imposed upon two of company's director & company secretary of company (Officer in default).

www.mca.gov.in/bin/dms/getdocument?mds=6%252Fa0p7FKTcFNcQyXCVTIYg%253D%253D&type=open

Adjudication Order for violation of section 118 of the Companies Act, 2013 in the matter of TELEONE ONLINE VENTURE PRIVATE LIMITED

ROC Delhi issued an adjudication order dated 13th June 2024 in the matter of Teleone Online Venture Private Limited as the notice of AGM issued by the company was not serially numbered and thus violating the provisions of SS-1 & SS-2 r.w section 118 of the Act. The Adjudicating Authority imposed penalty of ₹12,500 upon the company and ₹2,500 upon two of company's director (Officer in default) for violating SS-1 & SS-2.

www.mca.gov.in/bin/dms/getdocument?mds=juVM0c29F3hOrRwQSD7fQ%253D%253D&type=open

Adjudication order for violation of section 90 of the Companies Act, 2013 in the matter of SAMSUNG DISPLAY NOIDA PRIVATE LIMITED

ROC Kanpur issued an adjudication order dated 12th June 2024 in the matter of Samsung Display Noida Private Limited for violating the provision of section 90 of the Companies Act, 2013 by not filing declaration of beneficial interest of SBO in the company's shares through e-form BEN-2 within stipulated timeframe. The Adjudicating Authority have imposed penalty of ₹5,00,000 u/s 90(4) upon the company and ₹1,00,000 has been imposed upon one director & ex-Company Secretary of company (Officer in default), also the penalty of ₹64,600 was imposed on another director and ₹49,600 upon CS.

www.mca.gov.in/bin/dms/getdocument?mds=HnREIRNL95DmwQL0sHZ0cw%253D%253D&type=open

Adjudication Order for violation of Section 12(1) of the Companies Act, 2013 in the matter of MEGASYS HEALTHCARE LIMITED

ROC Gwalior issued an adjudication order dated 11th June 2024 in the matter of Megasys Healthcare Limited for violating the provisions of Section 12 of the Companies Act, 2013 for not maintaining the Registered Office of the company. The Adjudicating Authority has imposed

monetary penalty of ₹ 1,00,000 upon company and each of its 3 directors (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=NchlKWbvY7dbE2keF%252BjxBg%253D%253D&type=open

Adjudication Order for violation of Section 180(1)(C) of the Companies Act, 2013 in the matter of ISHA NIDHI LIMITED

ROC Ahmedabad issued adjudication order dated 25th June 2024 in the matter of Isha Nidhi Limited for not obtaining the shareholder's approval for having borrowing in excess to the permissible limit provided under section 180(1)(c) of the Companies Act, 2013 for financial years 2017-18 and 2018-19. The Adjudication Authority imposed penalty of ₹2,00,000 & ₹50,000 upon the company & 3 directors in default for financial year 2017-18. For repeated default in financial year 2018-19 penalty of ₹4,00,000 upon the company and ₹1,00,000 upon 3 directors was imposed by the Adjudication Authority.

www.mca.gov.in/bin/dms/getdocument?mds=rARDLq87%252BhRiRmZEDfKfgA%253D%253D&type=open

Adjudication Order for Violation of Section 137(1) of the Companies Act, 2013 in the matter of ISHA NIDHI LIMITED

ROC Ahmedabad issued adjudication order dated 25th June 2024 in the matter of Isha Nidhi Limited for not filing its Financial Statements for the financial years 2019-20, 2020-21, 2021-22 and 2022-23 within prescribed time limits as specified under section 137 of the Companies Act, 2013. For the violating the provisions of section 137 of the Act, the Adjudication Authority has imposed penalty of ₹1,31,500, ₹98,100, ₹67,700 and ₹31,200 upon company for each financial year 2019-20, 2020-21, 2021-22 and 2022-23 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for each financial years 2019-20, 2020-21 & 2021-22 and for financial year 2022-23 penalty of ₹31,200 was imposed upon 3 directors.

www.mca.gov.in/bin/dms/getdocument?mds=IIS4XZ7jVYVDvkKZUpJJ1A%253D%253D&type=open

Adjudication Order for Violation of Section 92(4) of the Companies Act, 2013 in the matter of ISHA NIDHI LIMITED

ROC Ahmedabad issued adjudication order dated 25th June 2024 in the matter of Isha Nidhi Limited for not filing its Annual Return for the financial years 2019-20, 2020-21, 2021-22 and 2022-23 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudication Authority has imposed penalty of ₹1,28,500, ₹94,900, ₹64,700 and ₹28,200 upon company for each financial year 2019-20, 2020-21, 2021-22 and 2022-23 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for each financial years 2019-20, 2020-21 & 2021-22 and for financial year 2022-23 penalty of ₹28,200 was imposed upon 3 directors.

www.mca.gov.in/bin/dms/getdocument?mds=RoAq3khSyDAzcCjq7CKrRw%253D%253D&type=open

Adjudication Order for Violation of Section 92(4) of the Companies Act, 2013 in the matter of LIFEGUARD FINANCIAL NIDHI LIMITED

ROC Ahmedabad issued adjudication order dated 24th June 2024 in the matter of Lifeguard Financial Nidhi Limited for not filing its Annual Return for the financial years 2019-20 and 2020-21 within prescribed time limits as specified under section 92 of the Companies Act, 2013. The Adjudication Authority has imposed penalty of ₹1,28,500 and ₹94,800 upon company for financial years 2019-20 and 2020-21 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for each financial year 2019-20 and 2020-21.

www.mca.gov.in/bin/dms/getdocument?mds=IWG%252BWGjd5ZUlofPKO0ZkA%253D%253D&type=open

Adjudication Order for Violation of Section 137(1) of the Companies Act, 2013 in the matter of LIFEGUARD FINANCIAL NIDHI LIMITED

ROC Ahmedabad issued adjudication order dated 24th June 2024 in the matter of Lifeguard Financial Nidhi Limited for not filing its financial statement for the financial years 2019-20, 2020-21 and 2022-23 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudication Authority has imposed penalty of ₹1,31,400, ₹98,000 and ₹31,100 upon company for financial years 2019-20, 2020-21 and 2022-23 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company for financial years 2019-20 and 2020-21 and for financial year 2022-23 penalty of ₹31,100 was imposed upon 3 directors.

www.mca.gov.in/bin/dms/getdocument?mds=oZJEaEoC2wJ8NKlclKldLuQ%253D%253D&type=open

Adjudication Order for Violation of Section 180(1)(c) of the Companies Act, 2013 in the matter of LIFEGUARD FINANCIAL NIDHI LIMITED

ROC Ahmedabad issued adjudication order dated 24th June 2024 in the matter of Lifeguard Financial Nidhi Limited for not obtaining the shareholder's approval for having borrowing in excess of the permissible limit provided under section 180(1)(c) of the Companies Act, 2013 for financial years 2015-16, 2016-17, 2017-18, 2018-19 and 2021-22. The Adjudication Authority imposed penalty of ₹2,00,000 & ₹50,000 upon the company & 3 directors in default for financial year 2015-16 respectively. For repeated default in financial years 2016-17, 2017-18, 2018-19 and 2021-22, penalty of ₹4,00,000 upon the company and ₹1,00,000 upon 3 directors in default for each financial year was imposed by the Adjudication Authority respectively.

www.mca.gov.in/bin/dms/getdocument?mds=5fWofaH6NOhNZu1Ktbfk2g%253D%253D&type=open

Adjudication Order for Violation of Section 29 of the Companies Act, 2013 in the matter of HIMALAYA COTTON YARN LIMITED

ROC Ahmedabad issued adjudication order dated 21st June 2024 in the matter of Himalaya Cotton Yarn Limited for violating the provisions of Section 29 of the Companies Act, 2013 for not dematerialising the shares of the company and also transfer of shares in physical mode violating section 29 read with rule 9A(3)(a) of Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed penalty of ₹50,000 upon the company & Managing Director of Company.

Penalty of ₹ 82,30,000 also imposed on 823 Transferors of shares in physical mode and ₹24,90,000, ₹28,10,000, ₹15,10,000, ₹25,70,000, ₹55,10,000, ₹15,10,000 upon 6 transferees of shares respectively.

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Adjudication Order for Violation of Section 117 of the Companies Act, 2013 in the matter of KRATOS IMPEX LIMITED

ROC Ahmedabad issued adjudication order dated 19th June 2024 in the matter of Kratos Impex Limited for not filing MGT-14 for altering MOA within prescribed time limit under section 117 of the Companies Act, 2013. The Adjudication Authority imposed penalty of ₹1,30,100 upon the company and ₹17,100 upon 2 directors, ₹50,000 upon 4 directors and ₹47,800 upon 1 director in default.

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Adjudication order for violation of section 90 of the Companies Act, 2013 in the matter of DEER SMART INDIA PRIVATE LIMITED

ROC Kanpur issued an adjudication order dated 28th June 2024 in the matter of Deer Smart India Private limited for violating the provision of section 90 of the Companies Act, 2013 by not filing the declaration of beneficial interest of SBO in the company's shares through e-form BEN-2 within stipulated timeframe. The Adjudicating Authority imposed penalty of ₹5,00,000 u/s 90(4) of the Act upon the company and penalty of ₹1,00,000 & ₹54,000 imposed upon two directors of the Company respectively.

www.mca.gov.in/bin/dms/getdocument?mds=BFGh0dii%252BISDggWhBfuXtA%253D%253D&type=open

Adjudication order for violation of section 42 of the Companies Act, 2013 in the matter of RICHESM HEALTHCARE LIMITED

ROC Kanpur issued an adjudication order dated 27th June 2024 in the matter of Richesm Healthcare Limited for making two private placement offers without closing the already open offers which is a violation of section 42 of the Companies Act, 2013. The Adjudicating Authority imposed penalty of ₹2,00,000 & ₹1,00,000 upon the company and 3 promotor & directors of company for each of two violations respectively.

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Adjudication order for violation of section 90 of the Companies Act, 2013 in the matter of Banaras Beads Limited

ROC Kanpur issued an adjudication order dated 28th June 2024 in the matter of Banaras Beads Limited for violating the provision of section 90 of the Companies Act, 2013 by not filing the declaration of beneficial interest of SBO in the company's shares through e-form BEN-2 within stipulated timeframe. The Adjudicating Authority imposed penalty of ₹5,00,000 u/s 90(4) of the Act upon the company and penalty of ₹1,00,000 imposed upon the Company Secretary.

www.mca.gov.in/bin/dms/getdocument?mds=16S1Vv9wx7L5odtjB6GTEQ%253D%253D&type=open

Adjudication order for violation of section 155 of the Companies Act, 2013 in the matter of SMT. SHASHI SINGLA

ROC Chandigarh issued adjudication order dated 28th June 2024 in the matter of Smt. Shashi Singla for obtaining more than one DIN in violation of provisions of section 155 of the Companies Act, 2013. The Adjudication Authority imposed penalty of ₹4,14,500 upon Smt. Shashi Singla.

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Adjudication Order for violation of Section 90 of the Companies Act, 2013 in the matter of ARIES HOTELS PRIVATE LIMITED

ROC Gwalior issued an adjudication order dated 26th June 2024 in the matter of Aries Hotels Private Limited for violating the provision of section 90 of the Companies Act, 2013 by not filing the declaration of beneficial interest of SBO in the company's shares through e-form BEN-2 within stipulated timeframe. The Adjudicating Authority imposed penalty of ₹2,00,000 u/s 90(1), ₹5,00,000 u/s 90(4A) and ₹2,00,000 u/s 90(5) of the Act upon the company and penalty of ₹1,00,000 and ₹50,000 imposed upon three directors of company under each section 90(4A) and 90(5) respectively.

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Adjudication order for violation of section 90 of the Companies Act, 2013 in respect of YSK Developers Private Limited

ROC Hyderabad issued an adjudication order dated 24th June 2024 in the matter of YSK Developers Private Limited for violating the provision of section 90 of the Companies Act, 2013 by not filing the declaration of beneficial interest of SBO in the company's shares through e-form BEN-2 within stipulated timeframe. The Adjudicating Authority imposed penalty of ₹2,30,500 u/s 90(4) upon the company and penalty of ₹77,200 and ₹75,000 imposed upon two of company's directors & Company Secretary of company (Officer in default) respectively.

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Adjudication order for violation of Section 92(5) of the Companies Act, 2013 in the matter of HADAPSAR URBAN MULTIPLE NIDHI LIMITED

ROC Pune issued an adjudication order dated 26th June 2024 in the matter of Hadapsar Urban Multiple Nidhi Limited for not filing its Annual Return for the financial years from 2018-19 to 2020-21 within prescribed time limits as specified under section 92 of the Companies Act, 2013. For violating provisions of section 137 of the Act, the Adjudication Authority imposed penalty of ₹1,56,600, ₹1,19,900 and ₹92,600 upon company for financial years ending on 31.03.2019, 31.03.2020 and 31.03.2021 respectively. Penalty of ₹50,000 was imposed upon 4 directors of company for financial year ending on 31.03.2019, for financial year ending on 31.03.2020 penalty of ₹50,000 was imposed upon 3 directors and ₹22,500 was imposed upon one director. Penalty of ₹50,000 was imposed upon 3 directors for financial year ending 31.03.2021.

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Adjudication order for violation of Section 137(3) of the Companies Act, 2013 in the matter of HADAPSAR URBAN MULTIPLE NIDHI LIMITED

ROC Pune issued an adjudication order dated 26th June 2024 in the matter of Hadapsar Urban Multiple Nidhi Limited for not filing its financial statement for the financial years from 2018-19 to 2020-21 within prescribed time limits as specified under section 137 of the Companies Act, 2013. The Adjudication Authority imposed penalty of ₹1,59,500, ₹1,22,900 and ₹95,600 upon company for financial years ending 31.03.2019, 31.03.2020 and 31.03.2021 respectively. Penalty of ₹50,000 was imposed upon 4 directors of company for financial year ending 31.03.2019, for financial year ending 31.03.2020 penalty of ₹50,000 was imposed upon 3 directors and ₹25,500 was imposed upon one director. Penalty of ₹50,000 was imposed upon 3 directors for financial year ending 31.03.2021.

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Adjudication order for violation of Section 117(3) of the Companies Act, 2013 in the matter of HADAPSAR URBAN MULTIPLE NIDHI LIMITED

ROC Pune issued an adjudication order dated 26th June 2024 in the matter of Hadapsar Urban Multiple Nidhi Limited for not filing of resolutions passed in Form MGT-14 for the financial years 31.03.2020 and 31.03.2021 and violating the provisions of section 117 of the Act. The Adjudicating Authority imposed monetary penalty of ₹1,26,000 and ₹98,700 upon company for each financial year ending on 31.03.2020 and 31.03.2021 respectively. Penalty of ₹50,000 was imposed upon 3 directors of company (officers in default).

www.mca.gov.in/bin/dms/getdocument?mds=OntJTyB8CWTEYDyip9D3fQ%253D%253D&type=open

Adjudication order for violation of Section 39(5) of the Companies Act, 2013 in the matter of HADAPSAR URBAN MULTIPLE NIDHI LIMITED

ROC Pune issued an adjudication order dated 26th June 2024 in the matter of Hadapsar Urban Multiple Nidhi Limited for not mentioning the address and occupation of the allottees attached to PAS-3 filed with ROC vide 2 separate SRNs and violating the provisions of section 39 of the Act. The Adjudicating Authority imposed penalty of ₹1,00,000 upon the company and upon 4 directors (Officer in default) for each filed PAS-3.

www.mca.gov.in/bin/dms/getdocument?mds=VHM2%252FIT5CcgP8r2mbH4BDQ%253D%253D&type=open

Adjudication order for violation of section 203 of Companies Act, 2013 in the matter of M/s Aura Hotels & Resorts Private Limited

GIST of RD Adjudication Order

In the matter of Aura Hotels & Resorts Private Limited the RD(NER) vide order dated 30th May, 2024 had reduced the quantum of penalty imposed by ROC, Guwahati to ₹1,10,000 from ₹5,00,000 for the company & ₹60,000 from ₹1,00,000 upon its 2 directors for violating the provisions of section 203 of the Act.

www.mca.gov.in/bin/dms/getdocument?mds=YyCDI08%252BYrcN86HSusoliA%253D%253D&type=open