THE DISCIPLINARY COMMITTEE OF THE INSTITUTE OF COMPANY SECRETARIES OF INDIA

DC:NI:01/2011

IN THE MATTER OF COMPLAINT OF PROFESSIONAL OR OTHER MISCONDUCT

ROC, Punjab, HP & Chandigarh

....COMPLAINANT

Versus

Shri Tushar Sharma

....RESPONDENT

<u>ORDER</u>

- 1. The Respondent was called upon to appear before the Disciplinary Committee at its meeting scheduled to be held on 16th August, 2012, vide letter dated 3rd August, 2012
- 2. Mr. Tushar Bindu Sharma, the Respondent appeared before the Committee at its meeting held on 16th August, 2012 and made his oral submissions and admitted his mistake and requested the Committee to take lenient view on the same.
- 3. The Committee considered submissions made by the Respondent and the material on record, came to the conclusion that the Respondent is 'Guilty' of Professional Misconduct under clause (1) of Part II of the Second Schedule of the Company Secretaries Act, 1980, as he had violated the resolution dated the 12th May, 1991 passed by the Council of the ICSI prohibiting the members holding Certificate of Practice to accept the employment. The Committee, in terms of sub-rule (1) of Rule 19 of the Company Secretaries (Procedure of Investigations of Professional and other misconduct and conduct of cases) Rules, 2007 hereby affords an opportunity of being heard to the Respondent in its next meeting before passing order under Section 21B of the Company Secretaries Act, 1980.

(S Balasubramanian)

Member

(S K Tuteja)

Member

Gopalakrishna Hegde)

Member

New Delhi

Date: 25k August, 2012

(Nesar Ahmad) Presiding Officer